



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Application of

Applicant(s) : Arturo J. Garcia and Arturo H. Garcia  
Serial No. : 08/472,876  
Filed : June 7, 1995  
Title : APPARATUS AND METHOD OF ENHANCING ELECTRONIC  
AUDIO SIGNALS  
Docket : TRD 001 IA  
Examiner : X. Mei  
Art Unit : 2644

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington, D.C. 20231 on  
January 4, 2002.

*Robert L. Showalter*

Robert L. Showalter

Reg. No. 33,579

Sir:

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Technology Center 2600

REQUEST FOR ORAL HEARING

Applicants hereby request an oral hearing in the above-identified application.

Accompanying this request is the fee set forth in § 1.17(d). This request is believed to be  
timely as it is being submitted within two months of the November 6, 2001 date of the  
Examiner's Answer.

Respectfully submitted,

STEVENS & SHOWALTER, L.L.P.

By

*Robert L. Showalter*  
Robert L. Showalter  
Registration No. 33,579

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PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 140

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 08/472,876       |
| Filing Date          | June 7, 1995     |
| First Named Inventor | Arturo J. Garcia |
| Examiner Name        | X. Mei           |
| Group Art Unit       | 2644             |
| Attorney Docket No.  | TRD 001 IA       |

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## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$)

|     |     |     |     |                        |  |
|-----|-----|-----|-----|------------------------|--|
| 101 | 740 | 201 | 370 | Utility filing fee     |  |
| 106 | 330 | 206 | 165 | Design filing fee      |  |
| 107 | 510 | 207 | 255 | Plant filing fee       |  |
| 108 | 740 | 208 | 370 | Reissue filing fee     |  |
| 114 | 160 | 214 | 80  | Provisional filing fee |  |

Fee Paid

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims  -20\*\* =  X  =   
Independent Claims  -3\*\* =  X  =   
Multiple Dependent  =

Large Entity Small Entity

Fee Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$)

|     |     |     |     |  |  |
|-----|-----|-----|-----|--|--|
| 103 | 18  | 203 | 9   | Claims in excess of 20                                     |  |
| 102 | 84  | 202 | 42  | Independent claims in excess of 3                          |  |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid                      |  |
| 109 | 84  | 209 | 42  | ** Reissue independent claims over original patent         |  |
| 110 | 18  | 210 | 9   | ** Reissue claims in excess of 20 and over original patent |  |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

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### 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |  |     |
|----------------------------|----------------------------|-----------------|----------|--|-----|
| 105                        | 130                        | 205             | 65       | Surcharge - late filing fee or oath  |     |
| 127                        | 50                         | 227             | 25       | Surcharge - late provisional filing fee or cover sheet                     |     |
| 139                        | 130                        | 139             | 130      | Non-English specification  |     |
| 147                        | 2,520                      | 147             | 2,520    | For filing a request for ex parte reexamination                            |     |
| 112                        | 920*                       | 112             | 920*     | Requesting publication of SIR prior to Examiner action                     |     |
| 113                        | 1,840*                     | 113             | 1,840*   | Requesting publication of SIR after Examiner action                        |     |
| 115                        | 110                        | 215             | 55       | Extension for reply within first month                                     |     |
| 116                        | 400                        | 216             | 200      | Extension for reply within second month                                    |     |
| 117                        | 920                        | 217             | 460      | Extension for reply within third month                                     |     |
| 118                        | 1,440                      | 218             | 720      | Extension for reply within fourth month                                    |     |
| 128                        | 1,960                      | 228             | 980      | Extension for reply within fifth month                                     |     |
| 119                        | 320                        | 219             | 160      | Notice of Appeal   |     |
| 120                        | 320                        | 220             | 160      | Filing a brief in support of an appeal                                     |     |
| 121                        | 280                        | 221             | 140      | Request for oral hearing   | 140 |
| 138                        | 1,510                      | 138             | 1,510    | Petition to institute a public use proceeding                              |     |
| 140                        | 110                        | 240             | 55       | Petition to revive - unavoidable   |     |
| 141                        | 1,280                      | 241             | 640      | Petition to revive - unintentional   |     |
| 142                        | 1,280                      | 242             | 640      | Utility issue fee (or reissue)   |     |
| 143                        | 460                        | 243             | 230      | Design issue fee   |     |
| 144                        | 620                        | 244             | 310      | Plant issue fee  |     |
| 122                        | 130                        | 122             | 130      | Petitions to the Commissioner  |     |
| 123                        | 50                         | 123             | 50       | Processing fee under 37 CFR 1.17(q)  |     |
| 126                        | 180                        | 126             | 180      | Submission of Information Disclosure Stmt                                  |     |
| 581                        | 40                         | 581             | 40       | Recording each patent assignment per property (times number of properties) |     |
| 146                        | 740                        | 246             | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |     |
| 149                        | 740                        | 249             | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |     |
| 179                        | 740                        | 279             | 370      | Request for Continued Examination (RCE)                                    |     |
| 169                        | 900                        | 169             | 900      | Request for expedited examination of a design application                  |     |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 140

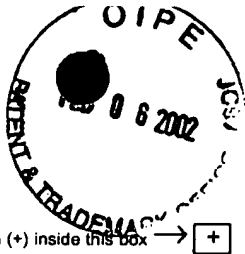
## SUBMITTED BY

|                   |                     |                                   |         |           |              |
|-------------------|---------------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | Robert D. Showalter | Registration No. (Attorney/Agent) | 33,579  | Telephone | 937/438-6848 |
| Signature         | <i>[Signature]</i>  | Date                              | 11/7/02 |           |              |

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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|   |                             |                               |            |
|---|-----------------------------|-------------------------------|------------|
| <b>TRANSMITTAL FORM</b><br><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 08/472,876                    |            |
|   | <b>Filing Date</b>          | June 7, 1995                  |            |
|   | <b>First Named Inventor</b> | Arturo J. Garcia              |            |
|   | <b>Group Art Unit</b>       | 2644                          |            |
|   | <b>Examiner Name</b>        | X. Mei                        |            |
| <b>Total Number of Pages in This Submission</b>   | 4                           | <b>Attorney Docket Number</b> | TRD 001 IA |

| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> <del>Power of Attorney, Revocation</del><br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br>Return postcard. |
| <b>Remarks</b><br>The Appeal Communication enclosed is a Request for Oral Hearing.  |  |  |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Robert L. Showalter; Stevens & Showalter, L.L.P. |
| Signature                                  |  |
| Date                                       | January 4, 2002                                  |

| CERTIFICATE OF MAILING  |                     |
|---|---------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>January 4, 2002</u> |                     |
| Typed or printed name   | Robert L. Showalter |
| Signature   |                     |
| Date  | 1/4/02              |

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